

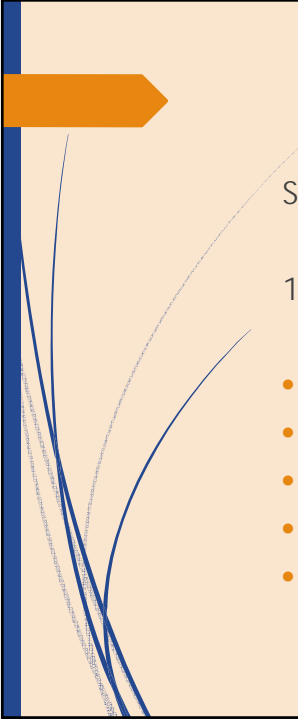


# Resident Funds

Fall Provider Meeting 2018

Department of Health and Senior Services  
Section for Long Term Care Regulation

Lynn Gilmore, Senior Auditor



## Resident Funds

State Regulations

19.CSR 30-88.020 – Resident Funds and Property

- What are Resident Funds?
- Is the Facility Managing Funds?
- Problems/Possible Citations
- What is the Facility Required to do?
- Questions?

## What are Resident Funds?

The personal funds belonging to the individual resident that are held in trust by a facility, (may include):

- ▶ Social Security (SSA) / Supplemental Security Income (SSI)
- ▶ Medicaid Personal Needs Allowance
- ▶ Retirement Income (Pension)
- ▶ Resident's Family
- ▶ Veterans Affairs (VA)
- ▶ Department of Mental Health (DMH)
- ▶ Workshop Earnings
- ▶ Overpayment From Room & Board
- ▶ Circuit Breaker Checks (Rent Rebate Checks)
- ▶ <https://dor.mo.gov/personal/ptc/>

## Is the Facility Managing Funds?

- ▶ Does the facility keep petty cash for residents?
- ▶ Is the facility representative payee for any resident?
- ▶ Does DMH send the facility the resident's allowance?

## Problems/Possible Citations

- ▶ Bank balance does not reconcile to the ledger cards
- ▶ More open Resident Fund accounts than residents
- ▶ Resident Fund records have White-out
- ▶ Deposits for several months at a time
- ▶ Refunds for expired residents payable to individuals instead of the State or the resident's estate

## What is the Facility Required to Do?

- ▶ The resident/designee/guardian should sign for withdrawals (current month's room & board does not need a withdrawal signature). *19 CSR 30-88.020(2)*
- ▶ Negative balances are not allowed. *19 CSR 30-88.020(2)*
- ▶ **All** resident funds must be kept separate from the facility's money, not commingled. *19 CSR 30-88.020(4)*
- ▶ \$50.00 per resident is the maximum amount that may be held in petty cash at the facility. The rest must be in a bank or savings and loan association and if any interest is accrued it shall be credited to each resident's account at least monthly. *19 CSR 30-88.020(5)*
- ▶ A written account must be maintained on a current basis of all the resident's funds. *19 CSR 30-88.020(6)*

## What is the Facility Required to Do?

- ▶ Documented signed receipts must be obtained when receiving money *19 CSR 30-88.020(7)* and disbursements take place *19 CSR 30-88.020(8)*.
- ▶ The facility is required to give the resident, legal designee or guardian a quarterly accounting of all financial transactions. This statement needs to show the current balance and all transactions, not just the balance. *19 CSR 30-88.020(9)*
- ▶ All written accounts of the residents' funds shall be reconciled *19 CSR 30-88.020(9)*
- ▶ The balances of discharged residents must be refunded to the resident within 5 calendar days from the date of discharge *19 CSR 30-88.020(10)*
- ▶ Upon the death of a resident the facility must send an accounting to the Dept of Social Services, TPL Unit within 60 days *19 CSR 30-88.020(11)*

## Resident Funds DHSS Contact Information

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